



#3 2611

PATENT

5468-08700/AUS920010402US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICEIn re Application of:
Kumhyr et al.

Serial No. 09/967,219

Filed: September 28, 2001

For: COMPUTER-BASED
COMMUNICATION USING
MULTIPLE COMMUNICATIONS
CHANNELS§ Group Art Unit: 2611
§ Examiner: Unknown

§ Atty. Dkt. No. AUS920010402U

§ I hereby certify that this correspondence is being deposited
with the U.S. Postal Service as First Class Mail in an envelope
addressed to: Assistant Commissioner for Patents, Washington,
D.C. 20231, on the date indicated below.§ 12/6/01
Date

§ Kevin L. Daffer

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INFORMATION DISCLOSURE STATEMENTAssistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicant requests consideration of the references listed on the attached Form PTO-1449 and/or the additional information identified below in paragraph 3. A copy of each reference listed on the Form PTO-1449 is enclosed.

1. This Information Disclosure Statement is submitted:

- a. within 3 months of the filing date of a national application other than a continued prosecution application under § 1.53(d);
 within 3 months of the date of entry of the national stage as set forth in § 1.491 in an International application;
 before the mailing date of a first Office Action on the merits; or
 before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

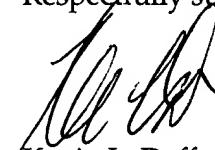
- b. after the events of above paragraph 1a and prior to the mailing date of a final Office Action or Notice of Allowance, and thus: the certification of paragraph 2 below is provided, or a fee of \$180.00 is enclosed.

- c. after the mailing date of a final Office Action or a Notice of Allowance and prior to payment of the issue fee, and thus: the certification of paragraph 2 below is provided and a fee of \$180.00 is enclosed.
2. It is hereby certified:
- that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56 (c) more than three months prior to the filing of the Statement.
3. Consideration of the following additional information (including any co-pending or abandoned U.S. applications, prior uses and/or sales, etc.) is requested:
4. For each non-English language reference listed on the attached Form PTO-1449:
- reference is made to an English language translation submitted herewith, and/or
- reference is made to a foreign patent office search report (in the English language) submitted herewith, and/or
- reference is made to an English language translation of a foreign patent office search report submitted herewith, and/or
- reference is made to the concise explanation contained in the specification of the present application at page(s) _____, and/or
- reference is made to the concise explanation set forth below:
5. Applicant also offers the following comments for the Examiner's consideration:
6. Also enclosed is a copy of a foreign search report citing these references.
7. The listed documents were brought to the attention of the Applicant(s) after payment of the issue fee in the captioned case. The documents were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Applicant(s) request this Information Disclosure Statement and attached Form PTO-1449 be placed in the file of the captioned application.

8. Applicant(s) requests that the Information Disclosure Statement and attached Form PTO-1449 and references, which are being filed before the grant of the patent and pursuant to 37 C.F.R. § 1.97(i), be placed in the file of the captioned application.

If any required fees are missing, the Commissioner is authorized to charge said fees to Conley, Rose & Tayon, P.C. Deposit Account No. 50-1505/5468-08700.

Respectfully submitted,



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Date: 12/6/01

Form PTO-1449 (modified) List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)		ATTY. I.DKT. NO. AUS920010402US1 APPLICANT: Kumhyr et al.	SERIAL NO. 09/967,219 GROUP: 2611
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U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A1	5,606,702	2/1997	Diel et al.			
	A2	5,815,142	9/1998	Allard et al.			
	A3	6,154,465	11/2000	Pickett			
	A4	6,167,366	12/2000	Johnson			
	A5	6,212,548	4/2001	DeSimone et al.			
	A6	6,266,701	7/2001	Sridhar et al.			

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FOREIGN PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	A7	Foley, "IBM advances Web services strategy," March 2001, pp. 1-3.
	A8	Olsen, "Privacy terms revised for Microsoft Passport," April 2001, pp. 1-3.
	A9	Wilcox, "With HailStorm, think fee, not free," March 2001, pp. 1-4.
	A10	Wilcox, "Microsoft's HailStorm unleashed," March 2001, pp. 1-4.
	A11	"Passport Q&A for Businesses," © 1999-2001 Microsoft Corporation, pp. 1-11.
	A12	Gibson, "The Strange Tale of the Attacks Against GRC.com," June 2001, pp. 1-28.
	A13	Young, "Debugging TCP/IP," September 2000, pp. 1-6.
	A14	Braden, "TIME-WAIT Assassination Hazards in TCP," May 1992, pp. 1-8.
	A15	Faber et al., "Avoiding the TCP TIME_WAIT state at Busy Service," August 1997, pp. 1-13.
	A16	IBM Corporation, "Using the Applications Management Specification in a TMA Environment," June 1997, pp. 1-6.

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.